



J. L. SHAH SECURITIES PVT. LTD.

CIN NO – U67120MH19997PTC109555

MEMBER: BOMBAY STOCK EXCHANGE LTD., NATIONAL STOCK EXCHANGE OF INDIA LTD. (SEBI REG NO. INZ000199739)

MEMBER: CENTRAL DEPOSITORY SERVICES (INDIA) LTD. (SEBI REGN. NO. IN-DP-CDSL-655-2021)



Policy for treatment of Inactive Client Accounts

Definition of Inactive Client Account

Client account will be considered as inactive if the client has not done any transaction with the member in the last 12 (Twelve) Months. Further, a client may also write to the member stating that he / she wishes to transfer his account into an “inactive” status, based on which the account will be marked as such.

Consequences of Inactive Client Account

On a client being declared inactive, the account of the client shall be made inactive in the back office software as well as the exchange and the client shall not be permitted to execute a fresh transaction in the account.

Procedure for Re-activation of the Client Account

The inactive client account can be reactivated by any of the following means:

- An e-mail request from the client to reactivate the account. Such a request shall be sent only from the registered e-mail id of the client with the member or
- A written request from the client to reactivate the account or
- A telephonic request from the client to reactivate the account

Further, the request to reactivate shall be processed only after the client provides decent proofs as required for opening the account as per SEBI norms.

In case a client is requesting for reactivation after a period of 1 year of being flagged as inactive i.e. after 2 years from their last trading date we will undertake fresh documentation, due diligence and IPV.

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