

Form No. MGT-7A

**ABRIGED ANNUAL RETURN FOR OPCs AND SMALL COMPANIES**

**As on the financial year ended on 31/03/2023**

**Of**

**J.L. SHAH SECURITIES PRIVATE LIMITED**

[Pursuant to Section 92(1) of the Companies Act, 2013  
And

Rule 11(1) of the Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

(i) Corporate Identification Number (CIN) of the company    
Global Location Number (GLN) of the company   
Permanent Account Number (PAN) of the company.

(ii) (a) Name of The Company

(b) Registered Office Address

Office No. 510, Hubtown Solaris, N.S. Phadke Marg,  
Near East- West Flyover, Andheri(East),  
Mumbai  
Mumbai City  
Maharashtra  
400069

(c) \*E-Mail ID of the Company

(d) \*Telephone No. with STD Code

(e) Website

(iii) Date of Incorporation

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government

(v) Whether Company is having Share Capital  Yes

(vi) \*Whether the form id filed for  Small

Company

(vii) Financial Year From  (DD /MM/YYYY) To date  (DD /MM/YYYY)

(viii) Whether Annual General Meeting (AGM) held :-  Yes

a) If Yes, date of AGM

b) Due Date of AGM

c) Whether Any Extension For AGM granted  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of Business Activities

Sr.No	Main Activity group Code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of Total Turnover of Company
1.	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURE)

\*No. of Companies for which information is to be given

Sr.No	Name of the Company	CIN/FCRN	Holding/ Subsidiary / Joint Venture/Associate	% of Shares held
1.				
2.				
3.				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity Share Capital

Particulars	Authorized Capital	Issued Capital	Subscribed Capital	Paid Up Capital
Total Number of Equity Shares	2,500,000	2,350,000	2,350,000	2,350,000
Total amount of Equity Shares(in rupees)	25,000,000	23,500,000	23,500,000	23,500,000

#### Number of Classes

Class of Shares	Authorized Capital	Issued Capital	Subscribed Capital	Paid up Capital
<input type="text" value="Equity Shares"/>				

Number of Equity Shares	2500000	2350000	2350000	2350000
Nominal Value Per Share( in rupees)	10	10	10	10
Total amount of Equity Shares( in rupees)	25,000,000	23,500,000	23,500,000	23,500,000

**b. Preference Share Capital**

Particulars	Authorised Capital	Issued Capital	Subscribed Capital	Paid up Capital
Total Number of Preference Shares	0	0	0	0
Total amount of Preference Shares(in rupees)	0	0	0	0

Number of Classes:

Class of Shares	Authorized Capital	Issued Capital	Subscribed Capital	Paid up Capital
<input type="text"/>				
Number of Preference Shares				
Nominal Value Per Share( in rupees)				
Total amount of Preference Shares( in rupees)	0	0		

**c. Unclassified Share Capital**

Particulars	Authorized Share Capital
Total Amount of Unclassified shares	0

**d. Break up of Paid Up Share Capital**

Class of Shares	Numbers of Shares	Total Nominal Amount	Total Paid-up Amount	Total Premium
<b>At the Beginning of the Year</b>	2350000	23500000	23500000	
<b>Increase during the Year</b>	0	0	0	0
i) Public Issue	0	0	0	0
ii) Right Issue	0	0	0	0
iii) Bonus Issue	0	0	0	0
iv) Private Placement/ Preferential Allotment	0	0	0	0
v) ESOPs	0	0	0	0
vi) Sweat Equity Shares Allotted	0	0	0	0
vii) Conversion of Preference Shares	0	0	0	0
viii) Conversion of Debentures	0	0	0	0
ix) GDRs/ADRs	0	0	0	0

x) Others, specify <input type="text"/>	0	0	0	0
<b>Decrease during the year</b>				
i) Buy-Back of Shares	0	0	0	0
ii) Shares Forfeited	0	0	0	0
iii) Reduction of Share Capital	0	0	0	0
iv) Others, Specify <input type="text"/>	0	0	0	0
<b>At the end of Year</b>	2,350,000	23,500,000	23,500,000	
<b>Preference Shares</b>	0	0	0	0
<b>At the Beginning of the Year</b>	0	0	0	0
<b>Increase during the Year</b>	0	0	0	0
i) Issues of Shares	0	0	0	0
ii) Re-Issue of Forfeited Shares	0	0	0	0
iii) Others, Specify <input type="text"/>	0	0	0	0
<b>Decrease during the year</b>				
i) Redemption of Shares	0	0	0	0
ii) Shares Forfeited	0	0	0	0
iii) Reduction of Share Capital	0	0	0	0
iv) Others, Specify <input type="text"/>	0	0	0	0
<b>At the end of Year</b>	0	0	0	0

**(ii) Details of Shares/Debentures Transfer since closure date of last Financial year( or in the case of first return at any time since the incorporation of the company) \***

Nil

Details being provided in CD/Digital Media     Yes     No     Not Applicable

Separate Sheet attached for details of transfers     Yes     No

**Note:** In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of previous AGM


Date of Registration of Transfer (Date Month Year)			
Type of Transfer		1. Equity 2. Preference 3. Debentures 4. Stock	
Number of Shares/ Debentures/Units Transferred:		Amount Per Share/Debenture/Unit (in Rs.):	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	Middle name	First name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	Middle name	First name

Date of Registration of Transfer (Date Month Year)			
Type of Transfer		1. Equity 2. Preference 3. Debentures 4. Stock	
Number of Shares/ Debentures Transferred:		Amount Per Share in Rupees:	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	Middle name	First name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	Middle name	First name

**iv) \* Debentures (Outstanding as at the end of the financial year)**

Particulars	Number of Units	Nominal Value Per Unit	Total Value
Non - Convertible Debentures	0	0	0
Partly Convertible Debentures	0	0	0
Fully Convertible Debentures	0	0	0
<b>Total</b>			<b>0</b>

**Details of Debentures**

Class of Debentures	Outstanding as at the Beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non - Convertible Debentures	0	0	0	0
Partly Convertible Debentures	0	0	0	0
Fully Convertible Debentures	0	0	0	0

**v) Securities ( Other than Shares and Debentures)(not applicable for opc)**

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Type of Securities	Number of Securities	Nominal Value of each unit	Total Nominal Value	Paid Up Value of each Unit	Total Paid Up Value
<b>Total</b>					

**V. \* Turnover and net worth of the company (as defined under Companies Act, 2013)**

- i) Turnover
- ii) Net worth of the Company

**VI. (a) \*SHARE HOLDING PATTERN- Promoters**

S. No.	Category	Equity		Preference	
		Number of Shares	Percentage	Number of Shares	Percentage
1.	Individual/HUF				
	(i) Indian	2350000	100	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate(not mentioned above)	0	0	0	
10.	Others <input type="text"/>	0	0	0	
	<b>Total</b>	<b>2,350,000</b>	<b>100</b>		<b>0</b>

**Total Number of Shareholders(promoters)**

**(b) \* SHARE HOLDING PATTERN- Public/ Other than promoters**

Sr.No.	Category	Equity		Preference	
		Number of Shares	Percentage	Number of Shares	Percentage
1.	Individual/HUF				
	(i) Indian				
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government	0	0	0	0
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0

8.	Venture capital	0	0	0	0
9.	Body corporate(not mentioned above)	0	0	0	0
10.	Others <input type="text"/>	0			
	<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Total Number of Shareholders(other than promoters)**

**Total Number of Shareholders (Promoters + Public/Other than promoters)**

#### VII. \* NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

Details	As at beginning of the year	As at end of the year
Promoters	2	2
Members(other than promoters)	0	0
Debenture holders	0	0

#### VIII. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

##### A. MEMBERS/CLASS /REQUISITIONED/CLB/NCLT/COURT CONVENED MEETINGS

Number of meetings held:

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	30/09/2022	2	2	100
Extra Ordinary General Meeting	16/11/2022	2	2	100

##### B. BOARD MEETINGS

Number of meetings held:

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1.	16/05/2022	3	3	100
2.	21/07/2022	3	3	100
3.	25/08/2022	3	3	100
4.	14/09/2022	3	3	100
5.	20/10/2022	3	3	100
6.	09/11/2022	3	3	100

7.	22/12/2022	3	3	100
8.	20/02/2023	3	3	100
9.	22/03/2023	3	3	100
10	23/03/2023	3	3	100

**C. \*ATTENDANCE OF DIRECTORS (not applicable for OPC)**

S. No.	DIN	Name of the Director	Board Meetings			Committee Meetings			Whether attended last AGM held on (date) 25/09/2023 (Y/N/NA)
			No. of meetings which were entitled to attend	No. of meetings attended	% of attendance	No. of meetings held	No. of meetings attended	% of attendance	
1.	00822359	Sangeeta Hemant Shah	10	10	100	0	0	0	YES
2.	00822455	Hemant Jagdishchandra Shah	10	10	100	0	0	0	YES
3.	05346050	Prachi Shah Hemant	10	10	100	0	0	0	YES

**IX. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole - time Directors and/or Manager whose remuneration details to be Entered

Sr No	Name	Designation	Gross Salary	Commission	Stock Option/Sweat Equity	Others	Total Amount

Number of CEO, CFO and Company secretary whose remuneration details to be entered

Sr No	Name	Designation	Gross Salary	Commission	Stock Option/Sweat Equity	Others	Total Amount

Number of other directors whose remuneration details to be entered

Sr No	Name	Designation	Gross Salary	Commission	Stock Option/Sweat Equity	Others	Total Amount
1.	Hemant Jagdishchand	Director	2100000				2100000



	ra Shah					
2.	Sangeeta Hemant Shah	Director	1200000			1200000
3.	Prachi Shah Hemant	Director	2100000			2100000
	<b>Total</b>		5,400,000			5,400,000

**X. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

A. Whether company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year.  yes

B. If No, give details along with the reasons/observations

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**XI. PENALTY AND PUNISHMENT- DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS/OFFICERS  Nil

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Name of the company/ directors/ officers	Name of the count/ concerned Authority	Date of Order	Name of the act and section under which penalized /punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

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Name of the company/ directors/ officers	Name of the count/ concerned Authority	Date of Order	Name of the act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued by invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub – section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am authorized by the board of Directors of the company vide resolution no.  dated  (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form

**Note: Attention is also drawn to the provisions of section 447, section 448 and 449 of the companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**Attachments**

- 1. List of shareholders, debenture holders.
- 2. Approval letter for extension of AGM.
- 3. List of Directors
- 4. Optional Attachment(s), if any